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TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A PRIOR PATENT

Docket Number: \$1509,70029US00

In re Application of:

Eric T. Fossel 08/932,227

Application No. Confirmation No.

5092

Filed:

September 17, 1997

For:

TOPICAL DELIVERY OF L-ARGININE TO CAUSE BENEFICIAL

EFFECTS

The owner*, Strategic Science & Technologies, LLC, of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. §154 to §156 and §173 as presently shortened by any terminal disclaimer, of prior Patent No. 6,207,713. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. §154 to §156 and §173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. §1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

For submissions on behalf of an organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United states Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signature

Eric T. Fossel

Typed or Printed Name

[X] Terminal disclaimer fee under 37 CFR 1.20(d) included.

*Statement under 37 CFR. 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP §324.

S	TATEMENT U	NDER 37	C.F.R. §3.73(b)	<u> </u>			
Owner: Strategic Science & Technologies, LLC							
Application No.:	08/932,227	/932,227 Filing Date: September 17					
Confirmation No.:	5092	92					
Entitled:	TOPICAL DEI	TOPICAL DELIVERY OF L-ARGININE TO CAUSE BENEFICIAL EFFECTS					
Strategic Science & Technologie	s, LLC a	limited	liability company				
(Name of Assignee)			f Assignee, e.g., corponent agency, etc.)	oration, partnership, university,			
states that it is the assig assignment from the in	nce of the entire right ventor of the patent a	nt in the pate	ent application ident dentified above, a c	tified above by virtue of an opp thereof which is attached.			
From: Eric Thor Fossel		To:	Strategic Science	e & Technologies, LLC			
[NOTE: A separate copy (i.e. a true copy of the original assignment document(s)) must be submitted to the Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08.] The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee. Signature							
	Eric Thor Fossel Typed or Printed Name						
The volt + Co							
Title							

<u>S</u> '	<u> FATEME</u>	NT UND	<u>ER 37</u>	C.F.R. §3.73(b)	1		
Owner:	Strategic	Strategic Science & Technologies, LLC					
Patent No.:	6,207,71	3 B1		Issue Date:	March 27, 2001		
Entitled:		TOPICAL AND ORAL DELIVERY OF ARGININE TO CAUSE BENEFICIAL EFFECTS					
Strategic Science & Technologie	s, LLC	æ	limited	liability company	•		
(Name of Assignee)				Assignee, e.g., corponent agency, etc.)	oration, partnership, university,		
states that it is the assig from the inventor of the	nee of the ent patent identi	ire right in fied above	the pate , a copy	nt identified above thereof which is att	by virtue of an assignment tached.		
From: Eric Thor Fossel			To:	Strategic Science	e & Technologies, LLC		
[NOTE: A separate continue the Assignment Division records of the USPTO.] The undersigned (whose title is separate continue to the property of the property of the undersigned to the u	n in accordan See MPEP 30	ce with 37 2.08.]	CFR Pa	rt 3, if the assignm	nent(s)) must be submitted to ent is to be recorded in the e on behalf of the assignee.		
		Eric Thor Fossel					
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Number of pages (including cover): Four

To:

Assignment Branch, U.S. Patent and Trademark Office

Fax No.:

703.306.5995

Serial No.: 08/932,227

Title:

TOPICAL DELIVERY OF L-ARGININE TO CAUSE BENEFICIAL

EFFECTS

From:

Tani Chen, Sc.D.

Direct dial: 617,646,8214

Our File #: S1509.70029US00

CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. 61.8(a)

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Wolf Greenfield Fax Number: 617.646.8646

Wolf, Greenfield & Sacks, P.C. | 600 Atlantic Avenue | Boston, Massachusetts 02210-2206 617.646.8000 | fax 617.646.8646 | www.wolfgreenfield.com 906772

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TECHNOLOGY TRANSFERS

LITIGATION

DOCKET NO.: \$1509.70029US00

RECORDATION FORM COVER SHEET **PATENTS ONLY**

FORM PTO-1595 U.S.

DEPARTMENT OF COMMERCE

(Rev. 6-93) OMB No. 0651-0011 (exp. 4/94)		Patent and Trademark Office					
To the Commissioner for Patents : Please record the attached original documents or copy thereof.							
Name of conveying party(ies):	2. Na	ame and address of receiving party(ies)					
Additional name(s) of conveying party(ies) attached? [] Yes [X] No 3. Nature of conveyance: [X] Assignment [] Merger [] Security Agreement [] Change of Name [] Other Execution Date: June 14, 2005		Name: Strategic Science & Technologies, LLC. 58 Charles Street Cambridge, Massachusetts 02141 Additional name(s) & addresses(es) attached? [] Yes [X] No					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is A. Patent Application No.(s) 8. Patent No.(s) 08/932,227							
Additional numbers attached? [] Yes [X] No							
 Name and address of party to whom correspondence Concerning document should be mailed: Name: Tani Chen, Sc.D. Address: Wolf, Greenfield & Sacks, P.C. 600 Atlantic Avenue Boston, MA 02210-2206 		6. Total number of applications and patents involved: [1] 7. Total fee (37 CFR 3.41) \$40.00 [] Enclosed [X] Authorized to be charged to deposit account The Commissioner is authorized to charge any deficiencies in the enclosed payment to: 8. Deposit Account No: 23/2825					
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9. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.							
Tani Chen, Sc.D.	1/1//	June 14, 2005					
Name of Person Signing	Signature	Date					
Total number of pages including cover sheet, attachments, and document: [Three]							

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, Eric Thor Fossel, 909 West Roxbury Parkway, Chestnut Hill, Massachusetts, 02457; hereby:

- 1. Sell, assign and transfer to Strategic Science & Technologies, LLC, a Delaware limited liability company having a place of business at 58 Charles Street, Cambridge, Massachusetts 02141, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on September 17, 1997 under Serial No. 08/932,227 and entitled, TOPICAL DELIVERY OF L-ARGININE TO CAUSE BENEFICIAL EFFECTS, and in and to said application and all non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority;
- 2. Agree that said Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything reasonably possible which said Assignee shall consider desirable for aiding in securing and maintaining proper protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee;
- 3. Request the Honorable Director of Patents and Trademarks to issue said Letters Patent to said Assignee;
- 4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation; and
- 5. Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

Inventor: Eric Thor Fossel 909 West Roxbury Parkway Address: Chestnut Hill, Massachusetts 02457 United States of America Citizenship: STATE/COMMONWEALTH OF COUNTY OF On this H day of July, 2005, before me, the undersigned notary public, personally _, proved to me through satisfactory evidence of identification, appeared Driver's law, to be the person who signed the preceding or attached which were document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose. 'Notery Public' Jonathan D. Gilson Commonwealth of Massachusetts My Commission Expires on March 24, 2011 My commission expires

This instrument is executed under seal.

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Date:

June 14, 2005

Number of pages (including cover): Four

To:

Assignment Branch, U.S. Patent and Trademark Office

Fax No.:

703.306.5995

Patent No.: 6,207,713 B1

Title:

TOPICAL AND ORAL DELIVERY OF ARGININE TO CAUSE

BENEFICIAL EFFECTS

From:

Tani Chen, Sc.D.

Direct dial: 617.646.8214

Our File #: S1509.70026us00

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FORM PTO-1595 U.S.

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1.	Name of conveying party(ies):	2.	Name a	ind ad	dress of receiving party(ies)		
3.	[X] Assignment [] Merger [] Security Agreement [] Change of Name [] Other		Name: Strategic Science & Technologies, LLC 58 Charles Street Cambridge, Massachusetts 02141 Additional name(s) & addresses(es) attached? [] Yes [X] No				
	ecution Date: June 14, 2005					_	
4.	 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is A. Patent Application No.(s) B. Patent No.(s) 6,207,713 B1 						
	Additional numbers at	tache	ed?[]Ye	s [X] i	No	_	
5.	 Name and address of party to whom correspondence Concerning document should be mailed: Name: Tani Chen, Sc.D. Address: Wolf, Greenfield & Sacks, P.C. 600 Atlantic Avenue Boston, MA 02210-2206 		6. Total number of applications and patents involved: [1] 7. Total fee (37 CFR 3.41) \$40.00 [] Enclosed [X] Authorized to be charged to deposit account The Commissioner is authorized to charge any deficiencies in the enclosed payment to: 8. Deposit Account No: 23/2825				
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9. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.							
	Tani Chen, Sc.D.				June 14, 2005		
	Name of Person Signing Signatu	ire			Date	\dashv	
	Total number of pages including cover she	et, a	ttachmen	ts, an	d document: [Three]		

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, Eric Thor Fossel, 909 West Roxbury Parkway, Chestnut Hill, Massachusetts, 02457; hereby:

- 1. Sell, assign and transfer to Strategic Science & Technologies, LLC, a Delaware limited liability company having a place of business at 58 Charles Street, Cambridge, Massachusetts 02141, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent Number 6,207,713 B1, issued on March 27, 2001, and titled TOPICAL AND ORAL DELIVERY OF ARGININE TO CAUSE BENEFICIAL EFFECTS, and in and to said application and all non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority;
- 2. Agree that said Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything reasonably possible which said Assignee shall consider desirable for aiding in securing and maintaining proper protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee;
- 3. Request the Honorable Director of Patents and Trademarks to issue said Letters Patent to said Assignee;
- 4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation; and
- 5. Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

Inventor: Eric Thor Fossel 909 West Roxbury Parkway Address: Chestnut Hill, Massachusetts 02457 Citizenship: United States of America STATE/COMMONWEALTH OF COUNTY OF On this 14 day of June, 2005, before me, the undersigned notary public, personally appeared <u>for Form</u>, proved to me through satisfactory evidence of identification, 1., to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntafily for its stated purpose. "Notary Public" Jonathan D. Gilson Notary Public Commonwealth of Massachusetts My commission expires

This instrument is executed under seal.